

THE HONORABLE RICARDO S. MARTINEZ

UNITED STATES DISTRICT COURT
WESTERN DISTRICT OF WASHINGTON
AT SEATTLE

JAMES GINZKEY, RICHARD FITZGERALD, CHARLES CERF, BARRY DONNER, and on behalf of the class members described below.

Plaintiffs,

V.

NATIONAL SECURITIES CORPORATION,
a Washington Corporation,

Defendant.

Case No.: 2:18-cv-01773-RSM

**STIPULATED MOTION AND ORDER
TO REVISE SCHEDULING ORDER
REGARDING CLASS
CERTIFICATION**

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Plaintiffs James Ginzkey, Richard Fitzgerald, Charles Cerf, and Barry Donner (collectively, “Plaintiffs”) and Defendant National Securities Corporation (“Defendant”) (collectively, the “Parties”), by and through their undersigned attorneys, hereby submit this Stipulated Motion to Revise the Scheduling Order Regarding Class Certification in this case.

IT IS HEREBY STIPULATED:

WHEREAS, Plaintiffs filed the Complaint in this action on December 10, 2018 (ECF No. 1);

1 WHEREAS, the Court issued a Rule 16(b) and Rule 23(d) Scheduling Order Regarding
2 Class Certification on August 2, 2019 (ECF No. 33). The Court set a deadline for the Parties to
3 complete discovery on class certification by November 3, 2019. The Court set a deadline for
4 Plaintiffs to file a motion for class certification by December 3, 2019;

5 WHEREAS, in September 2019, Defendant retained new lead counsel for this case.
6 Defendant is now represented by Fred Knopf, Chad Weaver, Elizabeth Fellmeth, and Nikki
7 Sachdeva of Freeman Mathis & Gary, LLP. Counsel are located in Connecticut and California
8 and will file applications to appear *pro hac vice* in this case. Defendant also continues to be
9 represented by Douglas Greene and James Morrison of Baker & Hostetler LLP in Seattle,
10 Washington;

11 WHEREAS, the Parties have begun to conduct discovery on class certification through
12 written discovery requests;

13 WHEREAS, given the complexity of the class certification issues and the nature of
14 Plaintiffs' claims, the Parties anticipate conducting substantial additional discovery regarding class
15 certification, including document discovery, depositions of the four Plaintiffs, depositions of
16 additional investor witnesses, and Rule 30(b)(6) depositions of Defendant;

17 WHEREAS, there is good cause to continue the deadlines for class certification discovery
18 and briefing based on Defendant's retention of new counsel. Counsel have required time to
19 transfer and review case files, investigate Plaintiffs' claims, and confer with Plaintiffs' counsel
20 regarding discovery;

21 WHEREAS, there is further good cause to revise the Scheduling Order Regarding Class
22 Certification given the amount of time required to conduct all necessary discovery on class
23 certification issues. In particular, the Parties anticipate that they will need three additional months
24 to collect and exchange documents, prepare for and take several depositions, confer on any
25 discovery issues, and make any necessary discovery motions. The Parties request that the deadline
26 for discovery on class certification be set no earlier than February 3, 2020 to allow for the Parties
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1 to take all necessary depositions, considering the scheduling needs and constraints of
2 approximately six to ten witnesses (who are located in different states), counsel for Plaintiffs and
3 Defendant, and the upcoming holidays;

4 WHEREAS, the Parties therefore request that the Court revise its Rule 16(b) and Rule
5 23(d) Scheduling Order Regarding Class Certification to allow time for the Parties to adequately
6 conduct discovery on and brief the class certification issues;

7 **NOW THEREFORE**, in consideration of the Parties' stipulation and good cause shown,
8 the Parties respectfully request the Court's approval of this Motion and an Order revising the
9 Scheduling Order as follows:

Deadline to complete discovery on class certification (not to be construed as a bifurcation of discovery)	February 3, 2020
Deadline for Plaintiffs to file motion for class certification (noted on the fourth Friday after filing and service of the motion pursuant to Local Rules W.D. Wash. LCR 7(d)(3) unless the parties agree to different times for filing the response and reply memoranda).	March 2, 2020

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18
19 DATED: October 2, 2019

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8 *Attorneys for Plaintiffs*
9 James Ginzkey, Richard Fitzgerald, Charles
Cerf, and Barry Donner

10
11 DATED: October 1, 2019

12 By: /s/ James R. Morrison
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21 *Attorneys for Defendant*
National Securities Corporation

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2 **IT IS SO ORDERED.**
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4 DATED: October 7, 2019
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7 RICARDO S. MARTINEZ
8 CHIEF UNITED STATES DISTRICT JUDGE
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